

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

USER INTERFACE FOR A SECURITY POLICY SYSTEM AND METHOD

the specification of which (check one) ☒ is attached hereto, or ☐ was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

Yes No

Number Country Day/Month/Year Filed

Number Country Day/Month/Year Filed

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

MICHAEL A. GLENN, Reg. No. 30,176
DONALD M. HENDRICKS, Reg. No. 40,355
KIRK D. WONG, REG. NO. 43,284
EARLE W. JENNINGS, Reg. No. 44,804
CHRISTOPHER PEIL, Reg. No. 45,005

SEND CORRESPONDENCE TO:

MICHAEL A. GLENN, 3475 Edison Way, Suite L Menlo Park, CA 94025

I hereby claim the benefit under Title 35, United States code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as

defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

60/212,126 6/16/00 PROVISIONAL -Pending
Application Ser. No. Filing Date Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: GEOFFREY COOPER

Inventor's signature _____ Date _____

Residence 2542 Webster, Palo Alto, California 94301

Post Office Address Same

Citizenship Canada

Full name of second joint inventor: BOB SHAW

Inventor's signature _____ Date _____

Residence 2237 Via Maderos, Los Altos, California 94024

Post Office Address Same

Citizenship United States of America

Full name of third joint inventor: LUIS VALENTE

Inventor's signature _____ Date _____

Residence 2903 Sevyson Court, Palo Alto, California 94303

Post Office Address Same

Citizenship United States of America

Full name of fourth joint inventor: KIERAN G. SHERLOCK

Inventor's signature _____ Date _____

Residence 455 Colorado Avenue, Palo Alto, California 94306

Post Office Address Same

Citizenship United States of America

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Full name of fifth joint inventor: KEVIN CORNWALL

Inventor's signature _____

Date

Residence 1559 1/2 Reed Avenue, San Diego, California 92109

Post Office Address Same

Citizenship United States of America

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Applicant or Patentee: Geoffrey COOPER, Bob SHAW, Luis VALENTE,
Kieran G. SHERLOCK, and Kevin CORNWALL
Serial No.: Unassigned Filing Date: Herewith
Patent No.: _____ Issued: _____
For: USER INTERFACE FOR A SECURITY POLICY SYSTEM AND METHOD

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(f) and 1.27(b) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- () the owner of the small business concern identified below:
- (X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Securify, Inc.
ADDRESS OF CONCERN 1157 San Antonio Road, Mountain View, California 94043

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3 - 18 and reproduced in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: USER INTERFACE FOR A SECURITY POLICY SYSTEM AND METHOD by inventor(s) Geoffrey COOPER, Bob SHAW, Luis VALENTE, Kieran G. SHERLOCK, and Kevin CORNWALL described in:

- (x) the application filed herewith
- () application serial no. _____, filed _____
- () patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than an inventor, who could not qualify as a small business concern under 37 CFR 1.9(d), or by any concern that could not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- (X) no such person, concern, or organization
- () persons, concerns, or organizations listed below*

* NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FILED " 20992360

Attorney Docket No. SECU0061

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dr. Taher Elgamal
TITLE OF PERSON OTHER THAN OWNER Chief Executive Officer and President
BUSINESS ADDRESS OF PERSON SIGNING 1157 San Antonio Road
Mountain View, CA 94043

SIGNATURE Taher Elgamal DATE 2/27/01

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